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A Place To Call Home:

A Synthesis of Delta Smelt Habitat in the Upper San Francisco Estuary

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21 *Abstract.* We used a combination of published literature and field survey data to
22 synthesize the available information about delta smelt *Hypomesus transpacificus*, a
23 declining native species in the San Francisco estuary. Delta smelt habitat ranges from
24 San Pablo and Suisun bays to their freshwater tributaries, including Delta and the
25 Sacramento and San Joaquin rivers. In recent years, substantial numbers have colonized
26 habitat in Liberty Island, a north Delta area which flooded in 1997. The species has more
27 upstream distribution during spawning periods and a more downstream distribution
28 during wetter years. Delta smelt are most common in low salinity habitat (<6 psu) with
29 high turbidities (>12 ntu) and moderate temperatures (7-25°C). They do not appear to
30 have strong substrate preferences, but sandy shoals may be important for spawning. The
31 evidence to date suggests that they generally require at least moderately tidal habitats.
32 Delta smelt also occur in a wide range of channel sizes, although they seem to be rarer in
33 small channels (<15 m wide). Nonetheless, there is some evidence that open water
34 habitat adjacent to long residence time areas (e.g. tidal marsh, shoal, low order channels)
35 may be favorable. Other desirable features of delta smelt habitat include high calanoid
36 copepod densities, and low levels of submerged aquatic vegetation and the toxic algae
37 *Microcystis*. While enough is known to plan for large scale pilot habitat projects, these
38 efforts are vulnerable to several factors, most notably climate change, which will change
39 salinity regimes and increase the occurrence of lethal temperatures. We recommend a
40 “bet hedging” approach coupled with extensive monitoring and adaptive management.
41 An overall emphasis on ecological processes rather than specific habitat features is also
42 likely to be most effective.

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44

45 **Introduction**

46

47 The San Francisco Estuary is one of the prominent features of the California
48 coastline. The estuary is both unconventional and complex, supporting diverse habitats
49 ranging from marine bays to brackish marshes and tidal freshwater wetlands. Given the
50 extreme level of urbanization and hydrologic alteration of the estuary, it is therefore not
51 surprising that identifying and protecting the habitats of endemic plants and animals has
52 become one of the major resource management issues in the San Francisco Estuary
53 (Figure 1). Habitat increasingly has become a target of management and restoration as a
54 result of declines in multiple trophic levels. Of the various declines, the highest-profile
55 has been the collapse of the pelagic fish community of the upper San Francisco estuary
56 (Sommer and others 2007). Indeed, few regional fisheries issues have generated as
57 much debate as the habitat requirements of delta smelt *Hypomesus transpacificus*, a
58 native osmerid that occurs only in the low salinity zone of the system. The population
59 has declined precipitously over the past decade, leading to major legal and regulatory
60 actions to try and improve its status (Service 2007; Sommer and others 2007). The
61 species is currently listed as Threatened under the Federal Endangered Species Act and
62 Endangered under the California Endangered Species Act (USFWS 2008).

63 This annual species is confined to a single estuary, so maintenance of the population
64 depends in part on habitat conditions in the Sacramento-San Joaquin Delta (herein
65 referred to as the *Delta*), the upstream region of the San Francisco Estuary from which
66 the species gets its name (Figure 1). The hydrodynamics of the Delta's highly
67 interconnected channels are especially complex and highly altered, with major changes to

68 key parts of the distribution of delta smelt. One of the biggest hydrologic changes over
69 the past century has been the construction of the large Central Valley Project (CVP) and
70 State Water Project (SWP) water diversions, which supply water to about 25 million
71 California residents and a multi-billion dollar agricultural industry (Grimaldo and others
72 2009).

73 Given its legal status, there has been substantial progress in understanding the life
74 history of this species (Moyle and others 1992; Bennett 2005; Nobriga and Herbold
75 2009). The typical pattern is for delta smelt to inhabit the oligohaline to freshwater
76 portion of the estuary for much of the year until late winter and early spring, when they
77 migrate upstream to spawn (Sommer and others 2011a). Following hatching, their young
78 subsequently migrate downstream in spring towards the brackish portion of the estuary
79 (Dege and Brown 2004). Some of the key physiological and environmental requirements
80 are understood based on laboratory studies and analyses of field data (Swanson and
81 others 1998, 2000; Baskerville-Bridges and others 2004; Feyrer and others 2007; Nobriga
82 and others 2008).

83 The primary objective of this paper was to synthesize the available information about
84 the habitat of delta smelt and to provide insight into what may happen in the future.
85 Although there are multiple definitions of habitat, we have chosen to consider delta smelt
86 habitat as the physical, chemical, and biological factors in the aquatic environment of this
87 species (Hayes and others 1996). Moreover, we assume that the maintenance of
88 appropriate habitat quality is essential to the long-term health of delta smelt (Rose 2000;
89 Peterson 2003). We emphasize that this does not mean that this report assumes that
90 habitat is the primary driver of the delta smelt population. To the contrary, there is

91 substantial evidence that delta smelt are controlled by a complex set of multiple
92 interacting factors (Sommer and others 2007; Baxter and others 2010; MacNally and
93 others 2010). Therefore, it should not be assumed that providing good habitat conditions
94 now or in the future will guarantee delta smelt success. In ecological terms, this issue is
95 often considered in terms of the *realized* versus *fundamental* niche of a species. Having
96 lots of suitable habitat creates the potential for delta smelt to occupy a large area (i.e.
97 *fundamental niche*), but the *realized* distribution may be much smaller because other
98 factors (e.g. predators) limit their ability to use all of the available area. In other words,
99 habitat is a necessary but not sufficient condition to support delta smelt. Habitat is,
100 nonetheless, unique in that it not only directly affects the species of interest (delta smelt),
101 but all affects other population drivers including “top-down” and “bottom-up” effects.
102 As such, it provides an excellent useful starting point for evaluating the ecological status
103 of species and potential restoration options.

104 A key point in evaluating delta smelt habitat is that it needs to be considered in two
105 different ways. First, it can be considered in a geographical context based on fixed
106 regions of the delta that seem to be important for delta smelt such as the west Delta,
107 Suisun Bay, and Cache Slough Complex. Because the estuary is strongly tidal and delta
108 smelt are a pelagic fish strongly associated with distinct salinity ranges (Dege and Brown
109 2004; Feyrer and others 2007; Kimmerer and others 2009), its habitat must also be
110 considered as constantly shifting in position along the axis of the estuary. In physical
111 science terms, the former is the Eulerian frame of reference, while the later is the
112 Lagrangian frame of reference.

113 For the purposes of this study, we focused on the following major questions: 1) what
114 are the basic physical, chemical, and biological requirements for delta smelt habitat? 2)
115 What geographic areas currently provide these conditions? 3) What habitat types support
116 delta smelt? 4) Given factors such as climate change, will the upper estuary provide
117 suitable conditions in the future? With respect to the last question, a second major
118 objective of the study was to identify which areas and habitat features will improve the
119 survival chances of delta smelt. Hence, our analysis was clearly targeted at providing
120 direction for large scale restoration efforts being considered under programs such as the
121 Bay Delta Conservation Plan (BDCP) and recent Biological Opinions (FWS 2008).

122 Because of the limited nature of the data available on delta smelt, our study was not
123 intended as a “bible” for their habitat. Specifically, our synthesis does not provide
124 detailed description of what delta smelt require for any single factor, habitat, or
125 geographic area. Moreover, we focus on the direct habitat needs of delta smelt, but do
126 not substantially address the role of subsidies across habitats that this fish do not
127 necessarily occupy (e.g. tule marsh contributions to the smelt food web). Our goal was
128 therefore to provide a basis for generating testable hypotheses for future restoration and
129 research projects. Given the rarity of delta smelt and associated constraints on field
130 collection, we also hoped that our analyses of existing data would help to set priorities for
131 future studies.

132

133 **Methods and Materials**

134

135 Assessing habitat needs of delta smelt is especially challenging because the fish is
136 very small (usually <100 mm FL), fragile, increasingly rare, and has a protected legal
137 status (Moyle 2002; Bennett 2005). A related issue is that the San Francisco estuary is
138 vast and spatially complex, with multiple tributaries, embayments, and braided channels
139 (Figure 1). High turbidity levels in the estuary present major challenges to direct
140 observations of habitat use. As noted previously, the need to evaluate smelt habitat in
141 both Lagrangian (moving flow field) and Eulerian (fixed locations) frames of references
142 complicates the interpretation of the available data. Finally, observational data on
143 different habitats can yield ambiguous or even misleading results. For example, juvenile
144 Chinook salmon densities are consistently higher along the narrow rip-rapped edge of the
145 Sacramento River than in the broad expanses of the adjacent Yolo Bypass floodplain
146 (Ted Sommer, California Department of Water Resources, unpublished data). In other
147 words, care must be used to correct observational data for habitat availability.

148 Several of these issues meant that currently it is not feasible to use traditional habitat
149 assessment techniques such as telemetry, mark-recapture, or visual observation. We
150 therefore relied on a combination of published literature, data analyses from long- and
151 short-term fisheries surveys, and the expert opinion of colleagues to synthesize the
152 available information with delta smelt. There is no question that our approach has a
153 higher uncertainty than direct observational methods; however, the information
154 represents the best available given the many constraints. Although our synthesis does not
155 follow the format of a traditional scientific paper, similar efforts to integrate multiple
156 information sources have proven useful to guide subsequent research and restoration (e.g.
157 Moyle and others 2004).

158

159 *Data Sources*

160

161 *Literature:* We focused on peer-reviewed literature, the majority of which was from
162 the San Francisco estuary and about delta smelt. For topics with no journal publications,
163 we also included some agency reports and unpublished manuscripts.

164 *Long-term surveys:* The following describes several of the key Interagency
165 Ecological Program monitoring surveys that collect delta smelt. Several of the
166 descriptions are from Sommer and others (2011a) and are presented approximately in
167 ontogenetic order starting with larvae.

168 Initiated in 1995, the California Department of Fish and Game (DFG) 20 mm survey
169 typically samples larvae during each neap tide between March and July (Dege and Brown
170 2004). A total of 48 sites have been sampled continuously and include freshwater to
171 mesohaline habitats of the estuary. Three 10-min oblique tows are conducted at each
172 location using a 5.1-m long, skid mounted net with a 1.5 m² mouth, a 1.6 mm mesh body
173 and a removable 2.2 L cod end jar. Zooplankton tows were collected simultaneously
174 using a Clarke-Bumpus net (0.160 mm mesh nylon cloth, outer mouth diameter of 12.5
175 cm, 76 cm length with a cod-end screened with 0.140 mm mesh) Volume was recorded
176 with a General Oceanics model 2030 flow meter. Zooplankton samples were preserved in
177 10% formalin with Rose Bengal dye. Preserved samples were concentrated in the
178 laboratory by pouring them through a sieve screened with 0.154 mm mesh wire, rinsed,
179 then reconstituted to organism densities of 200-400 per milliliter. A 1 milliliter
180 subsample was then extracted and counted and identified in a Sedgewick-Rafter cell. For

181 the purposes of this study we focused on counts of calanoid copepods, a key food source
182 for delta smelt (Nobriga 2002; Bennett 2005).

183 The Summer Townet Survey (TNS) has been conducted annually by DFG 1959. The
184 survey was designed to index the abundance of age-0 striped bass, but also collects delta
185 smelt data that have been used to analyze abundance, distribution, and habitat use
186 (Kimmerer 2002; Bennett 2005; Nobriga and others 2008). The TNS samples up to 32
187 stations using a conical net (1.5 m² mouth; 2.5 mm cod-end mesh) towed obliquely
188 through the water column.

189 The DFG fall midwater trawl (FMWT) samples fishes in open-water and other
190 offshore habitats monthly each September to December at 116 stations throughout the
191 northern region of the estuary. The survey at each location takes a 10 to 12-minute tow
192 with a 13.4 m² midwater trawl of variable meshes starting with 20.3 cm mesh at the
193 mouth of the net and 1.3 cm mesh at the cod end (Feyrer et al. 2007). The survey
194 represents one of the best long-term fishery data sets for the San Francisco estuary and
195 covers the majority of the range of delta smelt. The FMWT samples delta smelt
196 distribution and relative abundance during the period leading up to, but not including
197 their spawning migration. Thus, it provides a long-term dataset on where delta smelt are
198 distributed in the estuary. The survey has been conducted since 1967 with the exception
199 of 1974 and 1979.

200 The DFG Spring Kodiak Trawl survey (SKT) has been conducted since 2002 as a
201 survey to assess the distribution of adult delta smelt during the time they ripen and spawn
202 (Source: <http://www.delta.dfg.ca.gov/data/skt/>). It samples 39 locations from Napa River
203 upstream through Suisun Bay and the Delta (Figure 1). The survey has been conducted

204 every 2-4 weeks in winter and spring starting in January or February. At each location, a
205 single 10 minute surface sample is taken by two boats that tow a 7.6 m wide by 1.8 m
206 high Kodiak trawl (mesh ranges in dimension from 5.1 cm knotted stretched mesh at the
207 mouth and decreases by 1.3 cm through a series of 5 panels to 0.6 cm knotless stretched
208 mesh at the cod-end).

209 The USFWS Beach Seine Survey uses a 12-meter long by 1.2 meter high seine to
210 collect inshore fishes from areas generally less than one meter deep (Brandes and McLain
211 2001). Seine hauls are conducted year-round at 57 current sampling stations from San
212 Francisco Bay upstream to the lower Sacramento and San Joaquin Rivers. Unlike most
213 other surveys, basic substrate data is collected for this program. In addition to the core
214 USFWS, we examined data from special surveys in Liberty Island, a flooded tidal
215 wetland in the Cache Slough Complex. The surveys during August 2002-October 2004
216 used similar methods as the regular USFWS Beach Seine program at ten core sites
217 located around the periphery of the lower portion of the island (Figure 2).

218 *Short-term and geographically-limited studies:* One of the key studies used to
219 identify habitat use by delta smelt was the DFG Delta Resident Fishes Survey (Brown
220 and Michniuk 2007). This survey used an electrofishing boat to sample 200-m reaches of
221 shoreline spread across several delta regions. The timing of this survey has been
222 sporadic, with sampling that collected delta smelt in 1981-1982, 1995-1997, and 2001-
223 2003.

224 Another source of data about delta smelt use of small channels was the California
225 Department of Water Resources Yolo Bypass study, which includes larval sampling and
226 rotary screw trapping. This sampling occurred near the base of Yolo Bypass in a 40 m

227 wide perennial channel. Methods for the two surveys are summarized in Sommer and
228 others (2004a) and Feyrer and others (2006).

229 *Data Analyses:* Delta smelt are a relatively rare and patchy fish, so most survey data
230 were summarized based on presence-absence data. To summarize the general locations
231 of delta smelt habitat by life stage, we summarized the upstream and downstream
232 distribution limits for each of the major surveys: FMWT, SKT, 20 mm, and TNS. The
233 center of distribution was calculated for each survey (Sommer and others 2011b). Data
234 were summarized separated for wet and dry years using all years since 1995, when all
235 four surveys were conducted.

236 For several analyses, we calculated the percentage of samples with delta smelt present
237 for under different conditions (e.g. substrate, geographic locations). Where possible, we
238 did statistical analyses. For example, we used this approach for USFWS beach seine data
239 to compare delta smelt habitat use in Liberty Island as compared to concurrently
240 collected data from the core west and north Delta station region where the population is
241 often centered (Sommer and others 2011a; Figure 3). We focused on six west and north
242 Delta stations (Sandy Beach SR012W; Stump Beach SR012E; Rio Vista SR014W;
243 Brannan Island TM001N; Eddo's SJ005N; Sherman Island MS001N; Antioch Dunes
244 SJ001S) that commonly catch delta smelt. Differences in percent of samples with delta
245 smelt were compared for the Liberty Island (Figure 2) and the core Delta sites during the
246 same sampling period (2002-2004) using a Kruskal-Wallis test. The USFWS beach seine
247 data for the core Delta stations were also used to evaluate substrate use. Only data after
248 1993 were used because they included substrate information (mud, pavement, vegetated,
249 sand, gravel). We did a Chi-square test comparing the number of samples in which delta

250 smelt were captured on each substrate type to the total samples (i.e. effort) on each
251 substrate type. However, we acknowledge that fixed stations are not an optimal approach
252 to habitat use. One concern about the use of fixed stations is that salinity-induced shifts
253 in the distribution of delta smelt along the axis for the estuary, which may “push” delta
254 smelt away from or towards certain substrate types.

255 Food was analyzed for the 20 mm survey, the only IEP sampling program which
256 collects data simultaneous with fish at each station. As others have shown, generalized
257 additive models (GAMs) can be used to examine the associations between fish
258 occurrence and habitat variables such as salinity, temperature, and turbidity (Stoner and
259 others 2001; Feyrer and others 2007; Kimmerer and others 2009). We examined whether
260 adding food availability improved the model predictions for delta smelt. The technique
261 uses smoothers to describe the empirical relationships between predictor and response
262 variables and therefore does not assume particular relationships between the two. We
263 used the GAM function in the MGCV package of the statistical program R (R
264 Development Core Team 2011; Wood 2011) with a logit link function to determine
265 whether there were significant relationships between four response variables (mean
266 temperature; mean EC; mean secchi depth; mean calanoid copepod density) and the
267 presence of delta smelt in 20 mm samples for 1995-2009. The variables were tested both
268 individually and in combination with each other. We analyzed the GAM results in two
269 ways. First, we examined whether the smoothed results were congruent with expected
270 responses based on laboratory tests and ecological literature. Specifically, we expected
271 that delta smelt would show a unimodal response to temperature and salinity, a declining
272 occurrence relatively to Secchi (Feyrer and others 2007), and an increasing or saturating

273 response to food availability (e.g. Holling 1959). Second, we assessed statistical
274 significance of the GAM outputs using an approximation of the ability of each variable to
275 reduce null deviance in the models (Venables and Ripley 1997; Feyrer and others 2007).

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277 **Delta Smelt Habitat: A Synthesis**

278

279 **Basic Habitat Requirements**

280

281 **Salinity:** Salinity is the main factor that defines an estuary, so understanding salinity
282 requirements is an essential in describing the habitat of estuarine organisms. Because of
283 the ease of measurement, salinity is often represented based on electrical conductivity.
284 The two units are not strictly interchangeable because of variation in the ionic
285 composition of different regions of the San Francisco estuary (e.g. oceanic salts vs.
286 agricultural salts in the San Joaquin River).

287 More so than any other delta smelt habitat variable, salinity has been the subject of
288 intense research and debate. Higher flow levels shift the salt field downstream, as
289 commonly represented by X2, the distance of the 2 psu salinity isohaline from the Golden
290 Gate Bridge (Jassby and others 1995; Kimmerer 2002). There are no long-term trends in
291 the salinity of the upper estuary for most months (Jassby and others 1995; Enright and
292 Culberson 2010); however, there have been salinity increases during fall (Feyrer and
293 others 2007), when the issue has become most controversial.

294 Delta smelt are strongly associated with the low salinity zone, typically <6 psu or
295 <10,000 uS/cm (Feyrer and others 2007; 2010; Kimmerer and others 2009). Our GAM

296 results for the 20 mm survey showed a similar pattern (Figure 4; Table 1). The
297 distribution of delta smelt is therefore affected by salinity at multiple life stages. For
298 example, Dege and Brown (2004) found that the center of distribution of young delta
299 smelt during spring was determined by the location of the salt field, with a more
300 downstream distribution during wetter years. Similarly, Sommer and others (2011a)
301 found that the center of distribution of older delta smelt was consistently associated with
302 the location of the salt field (X2) during all months. As will be discussed below, this
303 does not mean that all smelt are confined to a narrow salinity range since fish occur from
304 fresh water to relatively high salinities.

305 The effects of salinity on habitat area vary seasonally and therefore by life stage.
306 Kimmerer and others (2009) found that X2 had a negative association with habitat area
307 (i.e. higher flow = more area) for all surveys analyzed, but the effect was strongest in
308 spring and summer. They suggest that earlier life stages were more responsive to salinity
309 changes because they tend to occupy fresher water than older delta smelt. Despite a clear
310 effect of estuarine salinity on habitat area, Kimmerer and others (2009) did not observe
311 strong effects on abundance. Feyrer and others (2010) also found a negative effect of X2
312 on habitat area during the fall. Feyrer and others (2007) report a long-term decrease in
313 habitat area based on the combined effects of salinity and turbidity (as indexed by Secchi
314 depth), and a weak effect of fall conditions on juvenile production the following summer.
315 The significance of these results has been the source of intense debate as part of legal
316 challenges to the USFWS (2008) Biological Opinion for delta smelt, which included new
317 requirements to change X2 during the fall of wet years.

318 **Tides and Flow:** There have been occasional collections of delta smelt upstream
319 of the tidal zone north of Sacramento (USFWS Juvenile Salmon Survey, unpublished
320 data). All of these occurred during the winter and spring spawning season. Despite these
321 rare exceptions, the habitat of delta smelt is focused entirely in the tidal zone. It is not
322 known if delta smelt can survive in areas without consistent tidal flows as may be the
323 case for some areas in the future with sea level rise (see below).

324 Delta smelt currently are found in the small channels such as the Yolo Bypass Toe
325 Drain, where tidal flows are periodically less than $\pm 4 \text{ m}^3/\text{sec}$ during months when smelt
326 are present (Lisbon Gauge, Department of Water Resources, unpublished data), to areas
327 with stronger tides such as Chipps Island, where representative summer tidal flows are
328 $\pm 9400 \text{ m}^3/\text{sec}$ (DWR 1993). It is highly likely that delta smelt use some form of tidal
329 surfing to change their location in the estuary (Swanson and others 1998; Sommer et al.
330 2011a). Bennett and others (2002) provide evidence that young longfin smelt (*Spirinchus*
331 *thaleichthys*) use tidal surfing to maintain their position in the estuary, so it is reasonable
332 to assume that a close relative like delta smelt does the same. Sommer and others (2011a)
333 used a particle tracking model to show that apparent upstream migration rates of adult
334 smelt were consistent with simulations based on a simple tidal surfing behavior.

335 **Velocity:** Closely related to tides and flow is water velocity. This variable may be
336 much less relevant to fishes in the highly tidal upper San Francisco estuary than for
337 species that live in riverine systems. Even in a tidal environment, it is likely that delta
338 smelt respond to covariates of velocity such as turbulence, so velocity should not be
339 ignored as a habitat feature.

340 The effects of water velocity on delta smelt are understood primarily from laboratory
341 studies. Swanson and others (1998) showed that maturing delta smelt probably can swim
342 for long periods at rates of 1-2 body lengths per second, representing about 6-12 cm per
343 second. Critical swimming velocities were around 28 cm/second. These rates were
344 comparable or somewhat lower than similar-sized fishes for the same temperature range.

345 **Turbidity:** Important progress in our understanding of the habitat needs of delta
346 smelt is that the species requires turbid water. Traditionally, fisheries biologists have
347 viewed high turbidities as a detriment to fish based on extensive evidence that high
348 sediment loads degrade the quality of salmon habitat (Newcombe and Macdonald 2011).
349 This has led to widespread regulations for logging and construction projects along the
350 Pacific Coast to limit sediment loading to rivers. However, Feyrer and others (2007)
351 found that delta smelt are strongly associated with turbid water. Their results showed
352 that during fall delta smelt are only present at locations where Secchi depth is less than 1
353 meter deep. This finding is consistent with Grimaldo and others (2009a), who found that
354 the occurrence of delta smelt at the SWP salvage facilities was linked, in part, with high
355 turbidities. Specifically, delta smelt were not present when turbidities were less than
356 about 12 ntu. This results are consistent with our GAM analyses of the 20 mm data set,
357 which showed that young delta smelt are strongly associated with lower Secchi depths
358 (Figure 4: Table 1).

359 The specific mechanism by which delta smelt require high turbidity is not known. An
360 obvious potential function of turbidity is that it may help delta smelt avoid visual
361 predators (Baskerville-Bridges and others 2004; Feyrer and others 2007; Nobriga and
362 Herbold 2009). Light apparently plays a role in feeding ecology as laboratory studies

363 show that consumption is low in clear water ((Mager 1996; Baskerville-Bridges and
364 others 2004). It is possible that turbidity helps create a contrasting background for delta
365 smelt to locate their prey.

366 One of the most disturbing long-term changes in for delta smelt has been the increase
367 in water clarity in the upper estuary (Jassby and others 2002; Wright and Schoellhamer
368 2004; Feyrer and others 2007). Moreover, modeling by Schoellhamer (2011) suggests
369 that there has been a sudden recent (1999) increase in water clarity as the sediment
370 balance shifted. In contrast to other habitat variables such as salinity, these trends are not
371 driven by hydrology (Jassby and others 2002). As noted in Baxter et al. (2010), the
372 primary mechanisms suggested to explain the increasing water clarity are: 1) reduced
373 sediment supply due to dams in the watershed (Wright and Schoellhamer 2004); 2) major
374 flood events (e.g 1982-1983) that washed out large amounts of sediment (Baxter and
375 others 2010); and 3) biological filtering by submerged aquatic vegetation (Brown and
376 Michniuk 2007, Hestir and others In review). Whatever the mechanisms, this change
377 appears to have had a serious effect on habitat quality for delta smelt during both summer
378 (Nobriga and others 2008) and fall (Feyrer and others 2007).

379 **Temperature:** Upper temperature limits for delta smelt habitat have been relatively
380 well-studied in both the laboratory and using field data. Interpretation of the laboratory
381 results is somewhat complicated as temperature limits can be affected by various factors
382 including acclimation temperature, salinity and feeding status. The general pattern is that
383 delta smelt cannot tolerate temperatures higher than 25°C (Swanson and others 2000), a
384 level that is highly consistent with field collections of young smelt (Nobriga and others
385 2008) and our GAM results for the 20 mm data set (Figure 4; Table 1). Hence, the 25°C

386 is currently used at the general guideline to assess the upper limits for delta smelt habitat
387 (Wagner and others 2011; Cloern and others 2011).

388 The lower limit to water temperature has not yet been evaluated in detail. However,
389 Bennett and Burau (2010) analyzed the occurrence of adult in the Spring Kodiak Trawl
390 based on three water quality variables. Their preliminary results suggest that delta smelt
391 are rare below about 7°C. Note, however, that temperatures below 10°C are uncommon
392 in the estuary (Kimmerer 2004; Nobriga and Herbold 2009).

393 **Depth:** Like velocity, the relevance of depth to a pelagic fish in a tidal estuary is
394 open for debate. Landscape variables such as depth are, nonetheless, clearly important
395 features that define tidal dynamics such as velocities, excursion, and frequency of
396 inundation. Unfortunately, depth is not recorded for many of the pelagic trawls in the
397 upper estuary making it difficult to evaluate this variable. Some data are available for
398 littoral surveys, but delta smelt catch is generally too low for a rigorous statistical
399 analysis. While generally regarded as a pelagic fish (Moyle 2002), delta smelt are clearly
400 caught in shoal and shallow inshore areas such as Suisun Bay and Liberty Island (Moyle
401 and others 1992; Nobriga and others 2005; Sommer and others 2011a). Aasen (1999)
402 found that juvenile smelt densities can actually be higher in shoal areas than adjacent
403 channels. However, delta smelt use of shallow areas apparently varies with tide (Aasen
404 (1999) and they probably do not substantially use the shallowest tidally dewatered edge
405 areas (Matt Nobriga, USFWS, unpublished data). There does not appear to be an obvious
406 maximum depth for delta smelt as the fish are commonly captured along the Sacramento
407 Deep Water Ship Channel (Grimaldo and others, In prep; DFG Spring Kodiak Trawl:

408 <http://www.dfg.ca.gov/delta/data/skt/DisplayMaps.asp>), which has most of the deepest
409 habitat in the upper estuary.

410 **Channel size:** Most data has been collected in large channels, making it difficult to
411 evaluate what types delta smelt prefer. It is likely that channel width itself is not a
412 constraint; instead, delta smelt are likely to be cued into related habitat features such as
413 tidal excursion, velocity, temperature, and turbidity. There does not appear to be a clear
414 upper limit for channel width as the FMWT and TNS data show that delta smelt are
415 common in large channels including broad bays that are several km wide. For example,
416 some of the most numerically important areas for delta smelt catch are Cache Slough, a
417 200-280 m wide channel (20mm station 716, TNS & FMWT stations 716 and 721) and
418 the Sacramento Deep Water Ship Channel, with a 170-200 m wide channel (TNS and
419 FMWT stations 719 and 797).

420 The lower limit to channel size for delta smelt has still not been addressed. In the
421 Delta, the smallest channels that we are aware of where delta smelt have been collected
422 are around 45 m wide. One example is a small perennial channel of the Yolo Bypass—
423 both adult and larval stages seasonally were collected there in many years (Sommer and
424 others 2004). Another narrow channel with regular catches of delta smelt larvae is Miner
425 Slough at 45-50 m wide (20 mm station 726). Downstream of the Delta, the smallest
426 channel where adults and juveniles have been reported is Spring Branch Slough in Suisun
427 Marsh, which averages about 15 meters near the sampling area of the UC Davis Suisun
428 Marsh Survey (Meng and others 1994; Matern and others 2002). These fish are most
429 commonly caught during winter, usually January to March (Teejay Orear, UC Davis,
430 unpublished data).

431 **Food:** Even if physical and chemical requirements are met, delta smelt will not
432 survive if habitat does not contain enough food to support basic metabolic needs. The
433 food source of delta smelt is fairly specialized, relying primarily on calanoid copepods
434 such as *Eurytemora affinis* and *Pseudodiaptomus forbsi* (Nobriga 2002; Moyle 2002).
435 There has been a long-term decline in zooplankton in the upper estuary (Winder and
436 Jassby 2010), which partially may account for the reduction in the mean size of delta
437 smelt in fall (Sweetnam 1999; Bennett 2005). Overall, food limitation remains a major
438 stressor on delta smelt (Baxter and others 2010). The importance of this variable is
439 supported by Kimmerer (2008), who showed that delta smelt survival from summer to
440 fall is related to biomass of copepods in the core range of delta smelt. These
441 relationships have led to the recognition that food availability should be included in life
442 cycle models of delta smelt (Maunder and Deriso 2011).

443 There is evidence of substantial spatial and temporal variation in copepods in the
444 estuary. The most extensive database for zooplankton of the upper estuary is the IEP's
445 Environmental Monitoring Program (<http://www.water.ca.gov/iep/activities/emp.cfm>),
446 which includes stations in Suisun Bay, Suisun Marsh, and the West and South Delta. *P.*
447 *forbesi* and *E. affinis* both frequently show their highest densities in the south Delta and
448 Suisun Marsh (Hennessy 2009; Anke Mueller-Solger, unpublished data). *P. forbesi* is
449 most abundant during summer to fall, while *E. affinis* largely disappears from the EMP
450 sites in summer and fall.

451 From a restoration perspective, one of the more important recent findings has been
452 that food resources are often more abundant around the periphery of the upper estuary. In
453 the brackish zone, the smaller channels of Suisun Marsh frequently show relatively high

454 levels of chlorophyll *a* and copepods (Schroeter 2008; Anke Mueller-Solger, Delta
455 Science Program, unpublished data). Similarly, studies by Benigno and others (In
456 review) show that the channels of the Cache Slough Complex consistently have higher
457 chlorophyll *a* levels than Delta EMP stations. The data suggest that calanoid copepod
458 levels may be enhanced during key months for delta smelt. Longer residence times are
459 likely a major contributing factor to increased food web production in these regions
460 (Lucas and others 2009).

461 Food thresholds for delta smelt have not yet been established, although our GAM
462 analyses provide some insights for spring. The GAM results of the 20 mm data set
463 suggested that temperature, salinity, Secchi depth, and calanoid copepod density were all
464 significantly associated with occurrence of young delta smelt (Table 1; Figure 4).
465 However, the smoothed GAM results for calanoid copepods (Figure 4) did not follow the
466 expected increasing or saturating responses (e.g. Holling 1959). Instead, the smoothed
467 response suggested a questionable decline in delta smelt abundance at high calanoid
468 copepod densities. An additional issue is that models that incrementally added each of
469 the environmental variables indicated that adding calanoid copepods to the model
470 explained only a small additional amount of deviance (2%) as compared to models with
471 just the three physical variables (Table 1). These results suggest that calanoid copepod
472 density was not a meaningful predictor of young delta smelt in the 20 mm survey. This
473 does not mean that food is unimportant to young delta smelt; rather, the data may not be
474 at a sufficient scale to detect associations.

475 **Substrate:** Most fish surveys in the upper Estuary do not record substrate, making it
476 difficult to evaluate the importance of this variable to delta smelt. The relevance of

477 substrate in the deep channel habitat of delta smelt is questionable; for example, young
478 smelt are typically in the middle or upper portion of the water column, particularly during
479 day time (Rockriver 1994; Grimaldo and others, In review). Nonetheless, substrate may
480 be relevant when delta smelt venture into littoral areas. Delta smelt catches are typically
481 quite low in inshore areas, making it hard to analyze the data in any rigorous way.

482 The best available data about substrate use are from the USFWS beach seine survey
483 (Table 2). The results suggest at least modest differences between observed and
484 expected habitat use (Chi square = 29.15; DF = 3; $p < 0.001$). Delta smelt were never
485 collected in vegetation, despite 167 samples in such habitats. Habitat use was also much
486 lower than expected at paved locations (boat ramps), but somewhat higher than expected
487 over gravel, mud, and sand.

488 Another example is the DFG Resident Fishes Survey, which used electrofishing to
489 sample nearshore areas during the early 1980s, mid-1990s, and early 2000s (Brown and
490 Michniuk 2007). The survey did not have high enough catch of delta smelt to warrant
491 statistical analysis. The 1981-1982 data collected delta smelt in 5% of 360 samples over
492 the following substrates: rip-rap 41% of fish; mud bank 59% of fish. These proportions
493 were very similar to the distribution of sampling effort among all sites. Sampling effort
494 was much greater in later years (5,645 samples); however, delta smelt were collected in
495 only 0.4% of samples. These fish were collected over rip-rap (38%), mud bank (47.6%),
496 and sand beach (14.3%), which was somewhat different than the overall sampling effort
497 for all sites (rip-rap 60%; mud bank 33%; sand beach 3%; mud flat 4%).

498 In general, these data suggest that delta smelt do not have particularly strong substrate
499 preferences, which is not surprising given their niche as a pelagic fish. Nonetheless,

500 substrate may be an important issue during spawning. The substrate preferences of delta
501 smelt are not known; however, many other smelts are known to favor sandy substrate for
502 spawning (Bennett 2005). This substrate is relatively common in inshore areas of the
503 west Delta (e.g. Sherman Island) and north Delta (e.g. Liberty Island and Sacramento
504 Deep Water Ship Channel).

505 **Other Water Quality Factors:** The current state of knowledge about the effects of
506 water quality problems including contaminants on delta smelt and other pelagic fishes has
507 recently been summarized by Brooks and others (2011). The evidence to date indicates
508 that although acute contaminant toxicity is not a likely cause for the population declines,
509 sublethal stress from multiple factors including metals, nutrient-rich effluents, toxic algal
510 blooms, and pesticides all degrade the habitat of delta smelt. For example, sublethal
511 contaminant exposure can impair immune function and swimming ability of delta smelt
512 (Connon and others 2011). Delta smelt distribution is known to overlap with several key
513 contaminants (e.g. Kuivila and Moon 2004; Brooks and others 2011) and effects can be
514 substantial depending on the level of exposure (Connon and others 2009).

515 The highest profile water quality issue has been inputs of ammonium to the Delta,
516 primarily from municipal discharges. The largest source of ammonium to the system is
517 the Sacramento Regional Wastewater Treatment Plant (Jassby 2008). There is no
518 evidence yet of direct effects on delta smelt, but there are concerns about food web
519 effects based on the finding that phytoplankton growth may at times be inhibited by high
520 ammonium concentrations in the Delta and Suisun Bay (Wilkerson et al. 2006, Dugdale
521 and others 2007; Glibert 2010; Glibert and others 2011). This could directly reduce

522 primary productivity and alter phytoplankton species composition, which may in turn
523 affect the zooplankton community that delta smelt rely upon (Glibert and others 2011).

524 Another emerging and related concern for delta smelt is that there are periodic
525 blooms of the toxic blue-green alga *Microcystis aeruginosa* during late summer, most
526 commonly August and September (Lehman and others 2005). These blooms typically
527 occur in the San Joaquin River away from the core summer distribution of delta smelt
528 (Figure 3), but some overlap is apparent. Results by Lehman and others (2010a) indicate
529 a strong likelihood that delta smelt are exposed to microcystins, which may in turn affect
530 their habitat use (Baxter and others 2010). Laboratory studies demonstrate that the blue-
531 green alga is toxic to another native fish of the region, Sacramento splittail *Pogonichthys*
532 *macrolepidotus* (Acuna and others 2012). Indirect effects are also a major concern as
533 *Microcystis* blooms are toxic to the primary food resources of delta smelt (Ger and others
534 2009; 2010a; 2010b).

535 Pesticide effects are less well understood, although effects may be substantial given
536 that agricultural, commercial, and urban purchases of pesticides within the Delta and the
537 upstream watershed averaged 21 million kg annually from 1990 to 2007 (Brooks and
538 others 2011). Intermittent toxicity has been reported for *Ceriodaphnia dubia* an
539 invertebrate surrogate for Delta prey species (Werner and others 2000) and *Hyaella*
540 *azteca*, a common invertebrate bioassay species (Weston and Lydy 2010; Werner and
541 others 2010).

542

543 **Geographical Range of Habitat**

544

545 A common misconception is that the habitat of delta smelt only occurs in the
546 Delta. The monitoring data indicate that center of distribution for the population
547 commonly occurs in the Delta during spring (Dege and Brown 2004) and fall (Sommer
548 and others 2011a). However, the overall distribution of delta smelt habitat is much
549 broader. To illustrate this point, we summarized survey data for different seasons and
550 water year types by life stage (Figure 3). The survey data show that delta smelt habitat is
551 often located well downstream of the Delta, commonly in Suisun Bay. Their habitat also
552 varies substantially by life stage and water year. The habitat tends to be most landward
553 (upstream) for adults (SKT survey) and most seaward for the other life stages (20 mm,
554 TNS, FMWT). As expected based on their strong association with salinity (Dege and
555 Brown 2004; Sommer and others 2011a), the habitat for younger life stages shifts
556 landward in drier years (Figure 3).

557 Following the listing of delta smelt in the early 1990s, one of the most surprising
558 initial discoveries was the presence of delta smelt in the Napa River, a tributary to San
559 Pablo Bay (Figure 1). While they are generally caught in wet years (Figure 3), the fact
560 that delta smelt can periodically use this downstream habitat is significant. Hobbs et al.
561 (2007) found that use of habitat in this region results in a unique chemical signature in the
562 otoliths of delta smelt and revealed that the portion of fish that use Napa River can be
563 substantial (e.g. 16–18% of population in 1999).

564 Another key finding was that delta smelt heavily use the Cache Slough Complex
565 (Sommer and others 2011a). As reported in Sommer and others (2011a), at least some
566 delta smelt occur year-round in the region. Although it is unclear what percentage of the
567 population occurs in this region, survey data suggests that this area sometimes seasonally

568 supports the majority of the delta smelt catch. To illustrate the importance of the Cache
569 Slough Complex, FWS beach seine surveys during 2002-2004 show that delta smelt
570 apparently occur year-round in Liberty Island (Figure 5) and were present in all stations
571 sampled (Figure 2). Similarly, expanded efforts of the 20-mm, TNS and FMWT surveys
572 into the Sacramento Deepwater Ship Channel found delta smelt June through October,
573 the warmest months of the year (Baxter and others 2010). Delta smelt use of the Cache
574 Slough complex appears to be substantial as the frequency of occurrence in Liberty
575 Island habitats was comparable to FWS beach seine stations located in their core Delta
576 habitat during 2002-2004 (Figure 6). These findings were relatively unexpected as the
577 general assumption at the time was that delta smelt leave the north Delta after larval stage
578 (Sommer and others 2011a). Moreover, flooded islands were generally considered poor-
579 quality habitat for delta smelt in other parts of the Delta (e.g. Grimaldo and others 2004;
580 Nobriga and others 2005).

581 Although the Napa River and Cache Slough Complex studies provide some cause for
582 optimism with regard to the status and extent of delta smelt habitat, it is important to note
583 one of the most troubling changes over the past four decades, the loss of the south Delta
584 as year-round habitat for delta smelt. As noted by several studies (Nobriga and other
585 2008; Sommer and others 2011a), the historical data show that many delta smelt
586 remained in the south Delta throughout the summer. While delta smelt still seasonally
587 occur in the south Delta during winter and spring (Figure 3; Sommer and others 2011a),
588 they are now absent in summer. Nobriga and others (2008) suggest that this is due to
589 major habitat changes including the proliferation of aquatic weeds and associated
590 declines in turbidity.

591

592 **Habitat Types**

593

594 The general habitat use by delta smelt is basically a function of the features
595 described in the previous sections. Table 3 provides a synthesis of some of the major
596 types based on some fairly broad habitat classifications. The summary is not intended to
597 reflect the temporal and spatial variability in delta smelt distributions within a given
598 habitat; rather it is designed to demonstrate relative patterns among habitat types. Note
599 also that historical collections of delta smelt in any one of these types does not guarantee
600 that future habitat projects will support this species. Any one of a number of physical
601 (e.g. turbidity; temperature), chemical (e.g. contaminants), and biological factors (e.g.
602 food, competitors, predators) may limit the ability of delta smelt to colonize new areas.

603

604 **The Future of Delta Smelt Habitat**

605

606 There is widespread consensus among scientists that the upper San Francisco
607 estuary will be quite different in the future (Knowles 2010; Cloern and others 2011).
608 Studies by Mount and Twiss (2005) predict that there is a high probability of massive
609 levee failure in the foreseeable future. This will radically change the salinity distribution
610 along with the types and locations of different habitats (Lund and others 2007; Moyle
611 2008). As a consequence, it is especially challenging to use observations on current delta
612 smelt habitat to predict future changes. There have at least been efforts to model habitat
613 based on future flow conditions through the present landscape. The results are fairly
614 discouraging, with predictions of reduced area of low salinity habitat as soon as 50 years
615 in the future (Feyrer and others 2011). Even more disturbing is the finding that within

616 100 years the number of lethal temperature days for delta smelt will greatly increase and
617 that turbidities will decrease (Wagner and others 2011; Cloern and others 2011). At the
618 same time major biological community changes are inevitable, along with very different
619 physical and chemical regimes (Lund and others 2007; Cloern and others 2011). These
620 issues raise the question of whether delta smelt will be able to persist with climate
621 change. At the very least, the analyses help show that current habitat conditions are not
622 sustainable (Lund and others 2007), making it critical to begin planning for ways to react
623 to long term changes.

624

625

Management Implications

626

627 The available information suggests a high degree of uncertainty about many
628 aspects of delta smelt habitat (e.g. Brown 2003). This is to be expected given the
629 relatively rare status of this species and the difficulty in directly measuring habitat use in
630 a highly variable and turbid environment. This does not mean, however, that there is
631 insufficient information to examine some of the management issues with delta smelt
632 habitat. Some basic ideas are provided below. Note that we do not specifically address
633 the issue of how much habitat would be required to generate a measurable increase in the
634 population of delta smelt. Such analyses are notoriously difficult and uncertain, even for
635 better-studied fishes such as salmonids (Roni and others 2010). A major part of the
636 problem is that habitat often is not the only factor controlling fish abundance, likely the
637 case for delta smelt (Sommer and others 2007; MacNally and others 2008; Baxter and
638 others 2010).

639

640 *We know enough to attempt some large scale habitat projects.*

641

642 While there is not sufficient information to fully design delta smelt habitat,
643 enough is known to attempt major projects to evaluate some of the key questions. For
644 example, the salinity, turbidity, temperature, and food requirements provide a basic
645 description of some of the most important habitat features. Moreover, the large
646 unintentional flooding of Liberty Island and subsequent colonization by delta smelt
647 suggests that there is some potential to expand and improve the habitat of this imperiled
648 species. Indeed, the status of delta smelt is so dire, that we cannot simply hope that the
649 species will be able to recover without several different types of active management.
650 It therefore seems prudent to proceed with one or more large scale projects provided that
651 there is an intensive field monitoring and adaptive management process.

652 Since much of the proposed habitat restoration activities will likely occur in
653 Suisun Marsh and the north Delta, we propose that new habitat projects try and emulate
654 key aspects of these regions. Based on our analyses, some general suggestions are
655 provided in Table 4. Note that these habitat features are not intended as the sole design
656 criteria for this species. A given project will fail if the constructed habitat if it is subject
657 to periodic water quality issues such as low dissolved oxygen, pesticides, and toxic algal
658 blooms, or high levels of predators or invasive species. In general, maintaining high
659 levels of variability and complexity has been suggested as a key approach to promote
660 native fishes (Moyle and others 2010).

661

662 ***Habitat restoration is highly vulnerable to several factors.***

663

664 The vulnerability of habitat restoration to future climate change was discussed
665 above. However, even under limited climate change there are many factors than can
666 undermine the value of habitat for delta smelt. Of primary concern is the effect of alien
667 species, given the high level of invasions in the estuary (Cohen and Carlton 1998).
668 Submerged aquatic vegetation such as Egeria can quickly colonize shallow areas of the
669 Delta (Brown and Michniuk 2007), covering shallow open water areas that provide part
670 of the habitat for delta smelt. A notable local example is Decker Island, where a
671 restoration project was constructed next to a known “hot spot” for delta smelt, yet the
672 small dendritic channels were rapidly choked with Egeria. SAV is especially attractive to
673 invasive predators (Grimaldo and others 2004; Brown and Michniuk 2007), that create
674 mortality risks for delta smelt. However, SAV is not necessary for predator colonization
675 as recently-created open water areas such as Liberty Island now support large numbers of
676 striped bass and inland silverside. In addition, it is possible that new habitat projects may
677 be subject to harmful algal blooms or localized runoff problems. The bottom line is that
678 delta smelt habitat restoration may be hard to achieve since there are many pitfalls.
679 Careful site selection and design coupled with intensive monitoring will be needed to
680 minimize these risks.

681

682 ***Bet hedging is critical***

683

684 Our review of the habitat needs of delta smelt reveals substantial uncertainty
685 about specific features that will support this fish. Given the high level of uncertainty, a
686 sensible approach is to adopt a “bet hedging” strategy coupled with intensive monitoring
687 and evaluation. Of particular importance is the development of habitat projects in more
688 than one geographic area that include multiple habitat types. This is critical given the
689 projection for future climate change (Wagner and others 2011; Cloern and others 2011),
690 the vulnerability of the Delta to floods and earthquakes (Mount and Twiss 2005; Moyle
691 2008), and the apparent diversity of delta smelt life histories. An emerging story is that
692 the delta smelt do not undergo uniform upstream migration of adults followed by
693 downstream migration of juveniles into the low salinity zone (Sommer and others 2011a).
694 The year-round presence of delta smelt in the north Delta region is evidence of divergent
695 migration pathways (Sommer and others 2011a). Indeed, new otolith research by Hobbs
696 (2010) suggests that the range of life histories includes freshwater spawning/freshwater
697 rearing, freshwater spawning/brackish rearing, brackish spawning/brackish rearing with
698 multiple variations in the specific timing. Again, this means that a single habitat type or
699 region should not be the focus of habitat restoration for delta smelt.

700

701 *Processes may be more important than specific habitat features*

702

703 Habitat restoration projects typically try and maximize the specific features that
704 the target species prefers. Obviously, this is a key first step as fishes like delta smelt
705 cannot colonize a habitat unless its basic environmental needs are met. Unfortunately,
706 this can result in over-engineering of habitats, something that may not be justified given

707 the high level of uncertainty about delta smelt habitat and the future of the delta. We
708 propose that an increased emphasis on processes may be more successful than the
709 construction of well-engineered “gardens”. Key processes include sustainability and
710 food web subsidies across habitats.

711 With regard to sustainability, habitats need to be designed to accommodate
712 anticipated changes that will occur over the next century and beyond. Key changes
713 include a declining sediment load (Wright and Schoellhamer 2004) that will strongly
714 affect accretion and degradation rates of delta habitats, and sea level rise which is
715 expected to eventually submerge many lower elevation sites. Careful selection of sites to
716 progressively accommodate sea level rise is therefore a high priority. The declining
717 sediment load is more problematic, but locating restoration areas in sites with relatively
718 higher sedimentation or re-suspension rates may help to alleviate problems.

719 Although most of the carbon inputs to the food web appear to be from riverine
720 inputs (Jassby and Cloern 2000; Kimmerer 2004), there is a growing ecological
721 recognition that there may be substantial localized inputs across adjacent habitats. This is
722 certainly the case with Yolo Bypass, which exports primary and secondary production to
723 downstream areas (Schemel and others 2004; Sommer and others 2004b). Liberty Island
724 may also export production during some seasons (Lehman and others 2010b). However,
725 some areas such as SAV habitat in other parts of the Delta show evidence of being
726 trophically decoupled from offshore food webs (Grimaldo and others 2009b), so few
727 subsidies are expected across these habitats. The degree to which tidal marsh habitat may
728 subsidize adjacent pelagic habitat remains unclear (Brown 2003), but there is some
729 evidence that marsh exports could be important. In general, phytoplankton and

730 zooplankton levels are higher in small channels surrounded by dense emergent vegetation
731 in Suisun Marsh (Rob Schroeter, UC Davis, unpublished data). This may be more a
732 function of longer residence time in these low order channels, but marsh subsidies are
733 also likely. In any case, it seems wise to consider habitat projects in locations where
734 trophic subsidies are most likely (Jassby and Cloern 2000).

735

736 *Several key studies are needed*

737

738 As suggested previously, delta smelt habitat restoration will not succeed unless
739 there is a sufficiently high level of monitoring and research. Moreover, these types of
740 studies are needed immediately in order to learn from existing habitat use by delta smelt,
741 and to develop baseline data and methodologies to evaluate project success. With respect
742 to habitat use, we have learned quite a bit about the basic needs of delta smelt from long-
743 term monitoring and laboratory studies, but we expect that much more information would
744 be gained from efforts designed specifically to assess habitat use. Specifically, stratified
745 randomized sampling methods are a more statistically defensible way to assess habitat
746 use than fixed stations and can be customized to evaluate habitat types and features not
747 covered by the existing monitoring network. Such surveys would be a useful supplement
748 to the existing long term monitoring conducted in the estuary. Initial efforts should be
749 focused on locations such as Suisun Marsh and the Cache Slough Complex, the two
750 major target areas for restoration and existing “hot spots” for delta smelt.

751 An ongoing issue for the study of delta smelt habitat has been that this listed
752 species is rare and fragile, so “take” is generally a concern. This means that we are

753 unlikely to be able to greatly increase our sampling efforts in areas where delta smelt are
754 common. A major priority is therefore the development of improved telemetry, marking
755 and imaging techniques to minimize take of delta smelt. In the short term, perhaps the
756 most promising method is the use of underwater cameras. There are currently studies
757 investigating the use of a towed net fitted with a camera at its (open) cod end (Baxter and
758 others 2010). The camera and associated image processing software were successfully
759 used in fall 2011 to identify and record delta smelt in several locations of the low salinity
760 zone. Such methods may allow much more intensive sampling of different habitats
761 without incurring high mortality. Better use of samples from the existing monitoring
762 program using novel approaches such as otolith microchemistry may provide additional
763 insight into delta smelt habitat use and migration patterns (Hobbs and others 2007; Hobbs
764 2010).

765

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767

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775

776

777

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1161 Table 1: Generalized additive modeling (GAM) delta smelt results for the 20 mm survey
1162 including Temperature (T), Specific Conductivity (C), Secchi depth (S), and Calanoid
1163 Copepod Density (F). The variances in each model were all statistically significant
1164 ($P < 0.00001$) based on approximate Chi square tests.

1165

Model	Residual Deviance (Percentage of total explained in parentheses)
T	5158 (7.1)
T + C	4876 (12.2)
T + C + S	4640 (16.4)
T + C + S + F	4514 (18.7)

1166

1167

1168

1169 Table 2: Substrate use by delta smelt as sampled by six core USFWS beach seine
1170 stations in the west Delta since 1993 (see text for details). The Chi-square analysis
1171 excluded vegetated substrate because it included no catch, which violates the assumption
1172 of that test.

1173

Substrate	Samples with delta smelt	Total samples (effort)
Gravel	6	338
Mud	39	2483
Pavement	6	2508
Sand	116	6945
Vegetation	0	183

1174 Chi square = 29.15, DF = 3, $p < 0.001$ (Excluding vegetation)

1175

1176

1177

1178 Table 3: Habitat types in which delta smelt have been collected: *= rare; **=periodic,

1179 *** = common. As noted in the text, historical observations do not ensure that newly

1180 created habitats will support delta smelt.

Region	Habitat	Present	Comments	Sources
Marine Examples: Lower Napa River, San Pablo Bay	-Bay -Channel -Marsh	* * **	Generally only during high flow events. Collections adjacent to Napa marshes.	Bennett (2005); Hobbs and others (2007); DFG Bay Study & Townet Survey.
Brackish Examples: Suisun Bay, West Delta	-Bay -Channel -Marsh	*** *** **	Core habitat. Core habitat. Collections adjacent to Suisun Marsh.	Moyle and others (1992); Aasen (1999); Bennett (2005); Feyrer and others (2007); Dege and Brown (2004); Sommer and others (2011a); UCD Suisun Marsh Survey (unpubl).
Freshwater Examples: Sacramento River, Cache Slough, Sacramento Deep Water Ship Channel.	-Non-tidal -Tidal channel -Littoral -Emergent marsh. -SAV	* *** *** ? *	Rare, highly seasonal. Primarily North Delta. Primarily North Delta. Little sampling. Collections adjacent to SAV.	Aasen (1999) Grimaldo and others (2004); Nobriga and others (2005); Sommer and others (2011a); DFG Fall Midwater and Kodiak Trawls; FWS Juvenile Salmon & Liberty Surveys (unpubl); This Report.

1181

1182 Table 4: Suggested habitat features for pilot delta smelt restoration projects. See text for
 1183 details.

1184

Habitat Feature	Comments	Citations
<p><i>Low salinities</i></p> <ul style="list-style-type: none"> Typically <6 psu 	<p>The best-studied variable that defines the habitat of delta smelt.</p>	<p>Bennett (2005) Feyrer and others (2007) Kimmerer and others (2009)</p>
<p><i>Moderate temperatures</i></p> <ul style="list-style-type: none"> 7-25° C 	<p>The upper temperature limits appear consistent for laboratory and field studies, but tolerance is strongly affected by food availability and acclimation conditions.</p> <p>Lower limits have not been studied in detail, but stress from very low temperatures is likely.</p>	<p>Swanson and others (2000) Bennett (2005) Nobriga and others (2008) Bennett and Burau (2010)</p>
<p><i>High turbidity</i></p> <ul style="list-style-type: none"> >12 ntu 	<p>Regions with shoal habitat and high wind re-suspension may help maintain high turbidities.</p>	<p>Feyrer and others (2007) Grimaldo and others (2009a)</p>
<p><i>Sand-dominated substrate</i></p>	<p>May be useful as spawning substrate.</p>	<p>This report.</p>
<p><i>At least moderately tidal</i></p>	<p>Delta smelt are only rarely observed outside tidal areas.</p>	<p>This report.</p>
<p><i>High copepod densities</i></p>	<p>Delta smelt survival appears to be linked to higher levels of calanoid</p>	<p>Nobriga (2002)</p>

	copepods in the low salinity zone.	Moyle (2002) Kimmerer (2008b)
<i>Low SAV</i>	The absence of delta smelt in most SAV sampling indicates that submerged vegetation degrades habitat value.	This report. Grimaldo and others (2004) Nobriga and others (2005)
<i>Low Microcystis</i>	The absence of delta smelt in areas with periodic Microcystis levels indicates that these blooms degrade habitat values.	Baxter and others (2010) Lehman and others (2010) This report.
<i>Open water habitat adjacent to long residence time habitat (e.g. low order channels; tidal marsh).</i>	This concept has not been tested statistically, but the frequent occurrence of delta smelt in these habitats suggests that it may be important.	Aasen (1999) This report.

1185

1186 **Figure Legends**

1187

1188 Figure 1. The San Francisco estuary including key landmarks noted in the text. The
1189 Delta is the area between Chipps Island, Sacramento, and just south of Stockton.

1190

1191 Figure 2. Locations of USFWS beach seine sampling in Liberty Island. The stations
1192 starting counter clockwise from the southeast corner of the site are: Liberty Island East
1193 #1-5 and Liberty Island #1-5. The data show the percentage of samples with delta smelt
1194 in different parts of Liberty Island based on data from August 2002- October 2004 (n =
1195 607 hauls).

1196

1197 Figure 3. Summary of the extent of delta smelt habitat for four surveys: FMWT, SKT, 20
1198 mm, and TNS. The data are for 2002-2010, when all surveys were conducted. The lines
1199 show the upstream and downstream limits of catch for wet (left panel) and dry (right
1200 panel) years based on the distance from the Golden Gate Bridge. The circles represent
1201 the center of distribution for each survey (see text and Sommer and others 2011a). Note
1202 that the surveys do not include inshore habitat or locations around the periphery of the
1203 estuary (e.g. Liberty Island, upper Deep Water Ship Channel).

1204

1205 Figure 4. Generalized additive (GAM) model predictions of delta smelt occurrence in the
1206 20 mm survey (based on all four habitat variables) verses the habitat variables for: a)
1207 water temperature; b) specific conductivity; c) Secchi depth; and d) calanoid copepod
1208 density.

1209

1210 Figure 5. Distribution of catch of delta smelt across seasons in Liberty Island based on

1211 USFWS beach seine data from August 2002- October 2004 (n = 93 fish).

1212

1213 Figure 6. Percentage of beach seine samples with delta smelt in different parts of Liberty

1214 Island (ten “LI” stations) as compared to five core west and north Delta sites. Analyses

1215 are based on USFWS beach seine sampling in these locations during August 2002-

1216 October 2004. The locations of the Liberty Island stations are shown in Figure 2. The

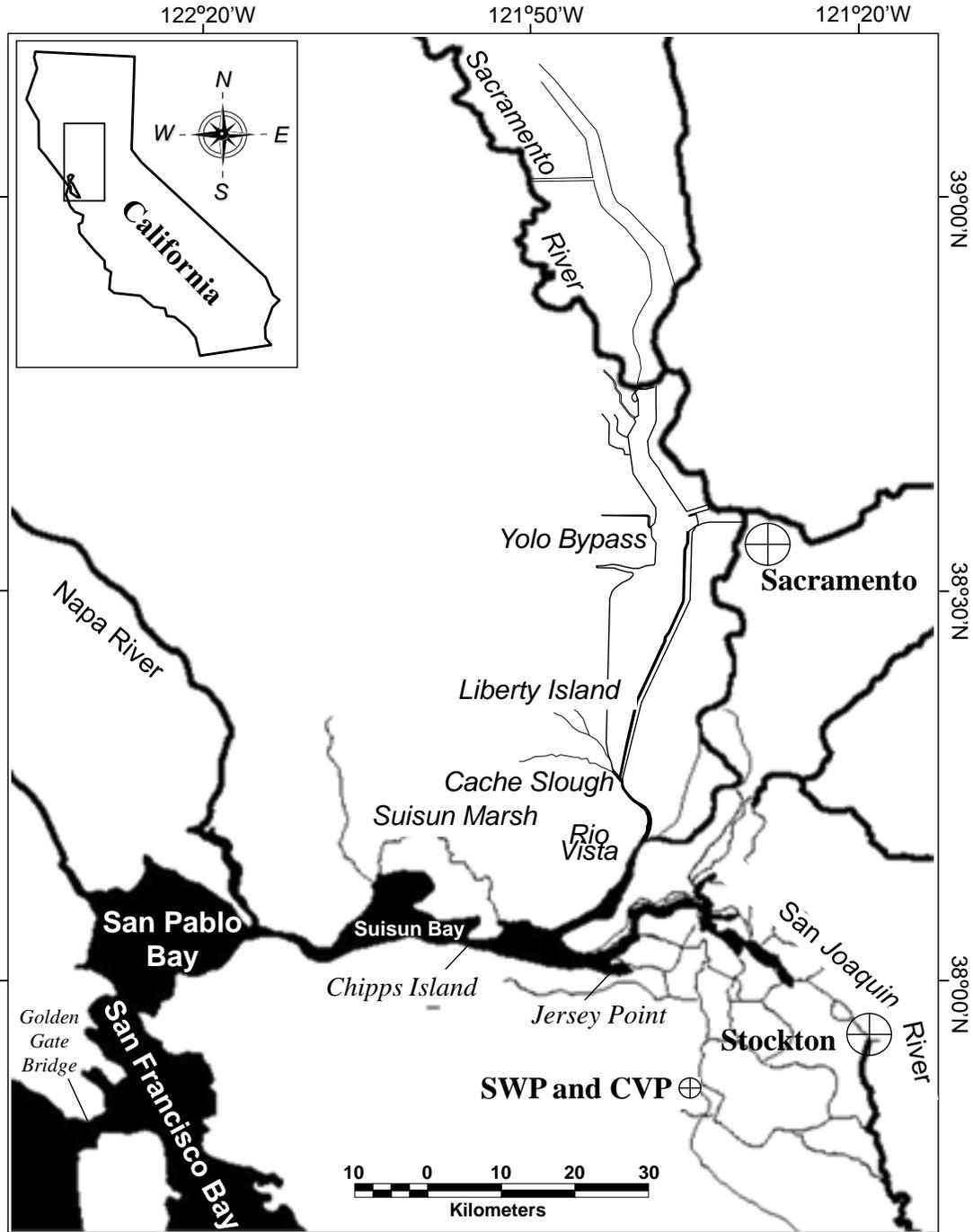
1217 differences between the Liberty Island and core Delta stations were not significantly

1218 different based on a Kruskal-Wallis test ($p=0.065$).

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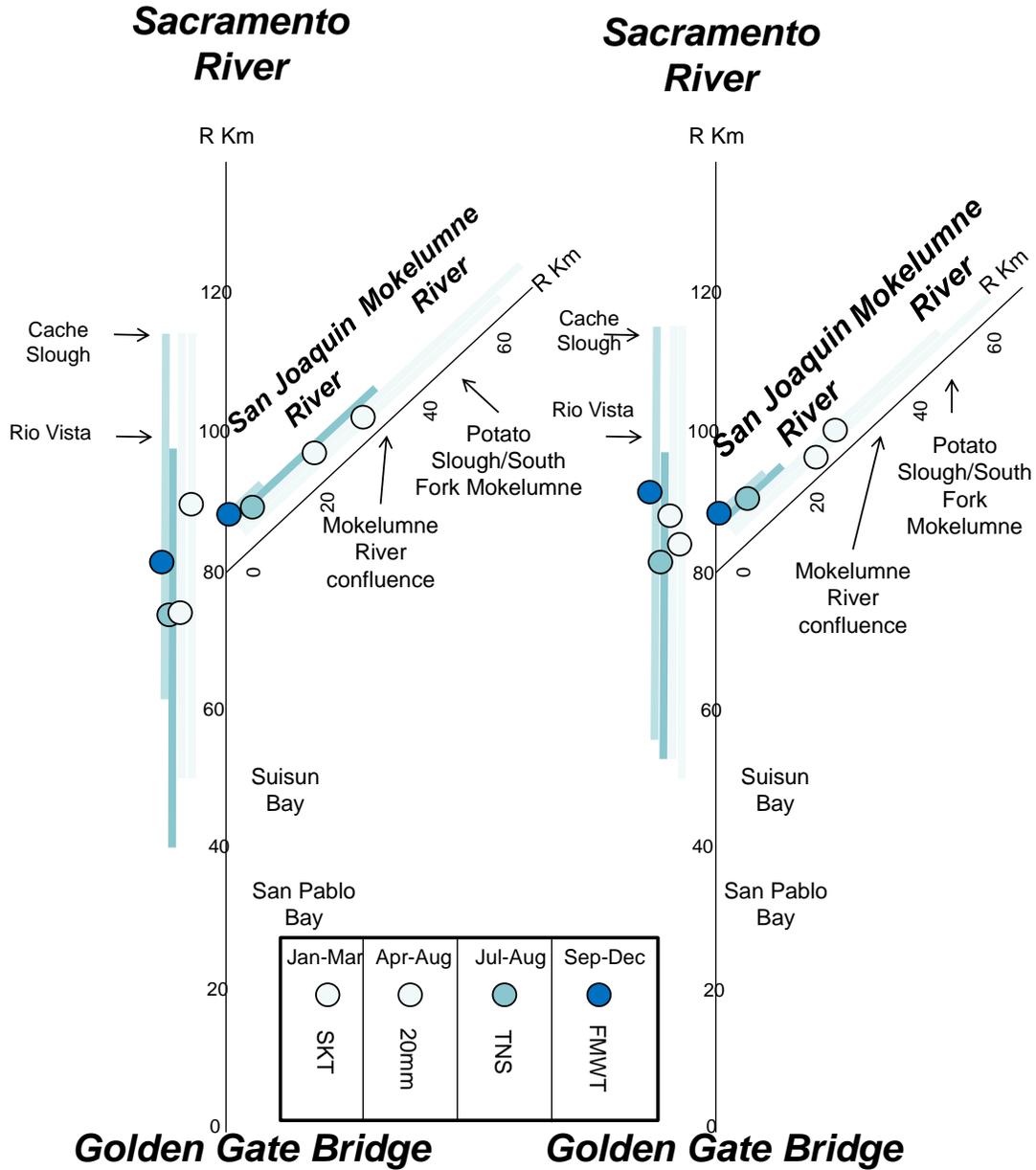
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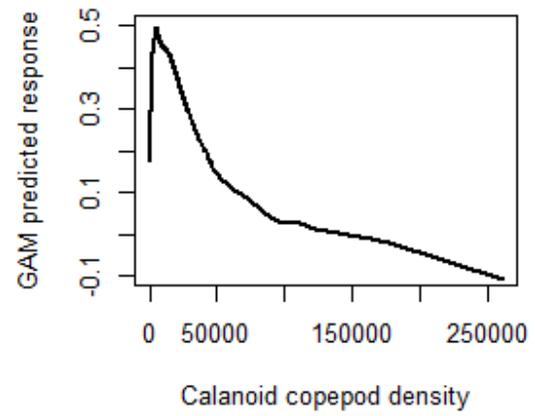
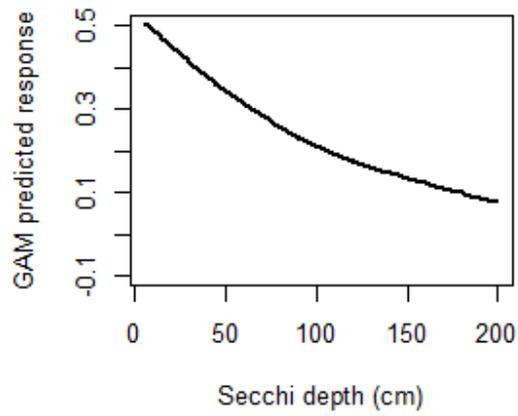
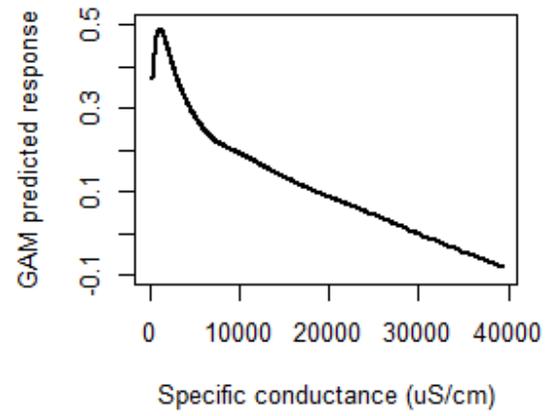
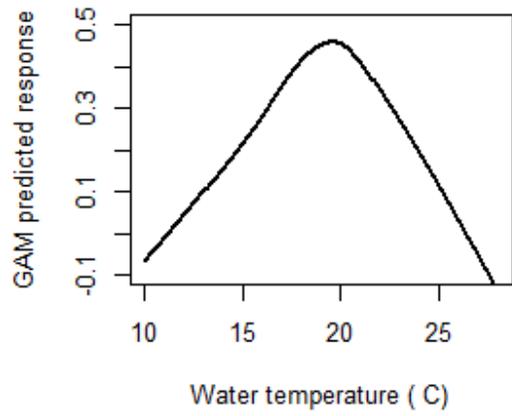
WET YEARS

DRY YEARS



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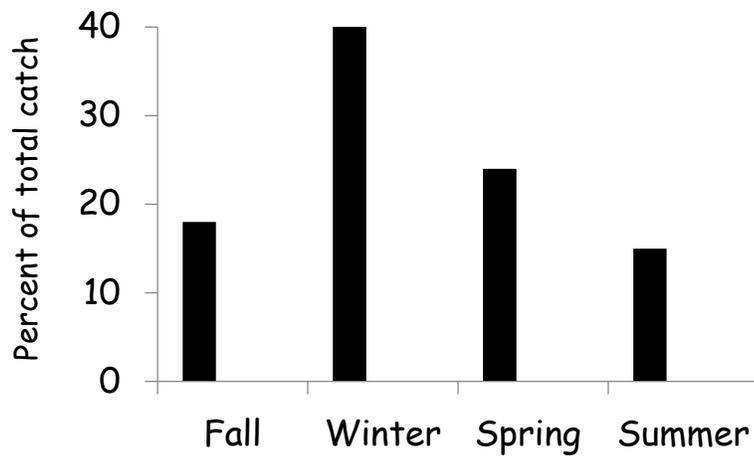
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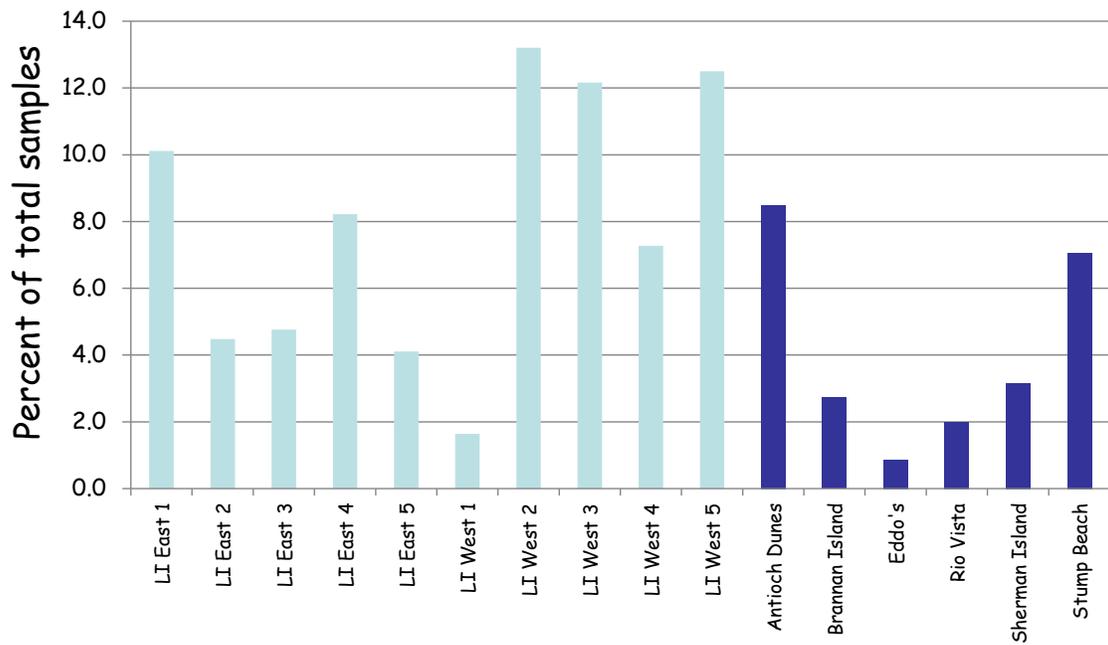


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